

NOTICE OF PRIVACY POLICY

OUR PROMISE TO YOU

As a client of Gerber, LLC, you share both personal and financial information with us. Your privacy is important to us, and we are dedicated to safeguarding your personal and financial information. In order to protect your personal information, we maintain physical, electronic and procedural safeguards. Our Privacy Policy restricts the use of client information and requires that it be held in strict confidence.

INFORMATION PROVIDED BY CLIENTS

In the normal course of doing business, we typically obtain the following non-public personal information about our clients:

- Personal information regarding our clients' identity such as name, address and social security number
- Information regarding securities transactions effected by us
- Client financial information such as net-worth, assets, income, bank account information and account balances
- Client and family health history
- Company financial statements such as balance sheets, income statements, and cash flow statement

HOW WE MANAGE & PROTECT YOUR PERSONAL INFORMATION

We do not sell information about current or former Clients to third parties, nor is it our practice to disclose such information to third parties unless requested to do so by a Client or Client representative (such as entering into an initial financial plan agreement or an annual financial planning advisory agreement) or, if necessary, in order to process a transaction, service an account or as permitted by law. Additionally, to provide an accurate quote or analysis we may share known relevant information with third parties. Third parties may include insurance companies, attorneys, CPAs, and mortgage brokers. Shared information may include, but is not limited to, the following:

- Health history, medications and dosages, height and weight
- Insurance claims history
- Driving record and credit history/score
- Tobacco use
- Date of birth and social security number
- Income, assets and liabilities
- Tax returns
- Financial and account statements
- Family and friends (relationship, address, date of birth, etc)

Our arrangements with these service providers require them to treat your information as confidential. If you would prefer to opt out of having your relevant personal information provided to these third parties, please contact our office at 614-431-4343.

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CLIENT NOTIFICATIONS

We are required by law to annually provide a notice describing our privacy policy. In addition, we will inform you promptly if there are changes to our policy.